Environmental concentrations of the selective serotonin reuptake inhibitor fluoxetine impact specific behaviors involved in reproduction, feeding and predator avoidance in the fish *Pimephales promelas* (fathead minnow)

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**A B S T R A C T**

Pharmaceuticals and personal care products (PPCPs) have been found in surface waters worldwide, but little is understood of their effects on the wildlife that inhabit these waters. Fluoxetine (Prozac; Eli Lilly), a highly prescribed selective serotonin reuptake inhibitor (SSRI), is a commonly found PPCP in surface water. The purpose of this project was to determine if environmentally relevant concentrations of fluoxetine impact behavior that is important for population survival in native fish species, including reproduction, feeding and predator avoidance. Chronic 4-week exposures were conducted with doses ranging from 10 ng/L to 100 µg/L to cover a range of environmentally relevant concentrations up to higher concentrations comparable to other published studies with the same drug that have documented various physiological impacts. *Pimephales promelas* (fathead minnow), a species native to North America, was used as it conducts a range of specific mating behaviors and therefore serves as an excellent model of specific impacts on brain function. Fluoxetine concentrations as low as 1 µg/L, a concentration that has been found in many freshwater environments, were found to significantly impact mating behavior, specifically nest building and defending in male fish. Males were also found to display aggression, isolation, and repetitive behaviors at higher concentrations. Female mating behavior was largely unaffected. In addition, predator avoidance behaviors in males and females were also impacted at 1 µg/L. Feeding was impacted at 10 µg/L and in the highest exposure (100 µg/L), egg production was limited by deaths of females due to significant male aggressive behaviors in the first two weeks of exposure. Specific behavioral changes occurred at each concentration (most noticeably 1 µg/L and 100 µg/L) indicating a dose dependent effect that triggered different responses at lower exposures versus higher exposures or differential impacts of dose depending on brain region. Length of exposure also had an impact on aggressive behavior. Changes in hormone levels, indicating significant neuroendocrine changes, suggested as a mechanism of response in higher dose and acute studies, were not linked to changes in behaviors at the doses used in this study. This research provides detailed data on how exposures to fluoxetine impact specific fish behaviors and reproduction and that the effects are dose dependent.

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1. **Introduction**

Widespread occurrences of pharmaceuticals and personal care products (PPCPs) have been found in the surface waters of the United States and Canada including the Great Lakes (Blair et al., 2013a; Boyd et al., 2003; Brun et al., 2006; Kolpin et al., 2002; Metcalfe et al., 2003). Wastewater treatment plants are implicated as the main routes of entrance of PPCPs into freshwater systems as a large portion of PPCPs that are ingested are excreted by the human body and ultimately end up in sewage treatment systems. Some of these compounds are not completely eliminated by wastewater treatment plants and they are expelled with the effluent into surface waters (e.g. Heberer, 2002; Blair et al., 2013a,b). There is little known about the effects of a large number these PPCPs on aquatic organisms that inhabit these areas, specifically at the concentrations they are found in the environment. Overall, there is not enough experimental data to determine which PPCPs may be of most concern (Brooks et al., 2003). Several PPCPs

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that act on the central nervous system, such as selective serotonin re-uptake inhibitors (SSRIs), have been identified in the list of chemicals of concern as they have been suggested to have the potential to impact wildlife populations (Kostich and Lazorchak, 2008). SSRIs block the reuptake of serotonin at the pre-synaptic nerve cleft and enhance the effect of serotonin on the post-synaptic nerve cleft (Winder et al., 2009). Serotonin has been shown to also regulate physiological systems in aquatic vertebrates such as teleost fish (Fong, 2001) including reproduction (Khan and Thomas, 1992), territorial behavior (Perreault et al., 2003), feeding (De Pedro et al., 1998), nitrogen waste excretion (Morando et al., 2009), and immune response (Ferriere et al., 1996). Therefore the SSRIs have the potential to have a significant impact on aquatic vertebrate populations.

Fluoxetine, the active ingredient in Prozac (Eli Lilly), is a commonly prescribed SSRI that has been found in final effluents from sewage treatment plants. Average surface water concentrations globally have been found from 0.012 to 1.4 µg/L (Christensen et al., 2009; Kolpin et al., 2002; Webb, 2001). Fluoxetine is used primarily to treat depression but also aids in the treatment of obsessive compulsive disorder, bulimia nervosa, and panic disorder and is one of the most prescribed medications in the United States (Burt et al., 2007). Fluoxetine has been found to accumulate in the tissues of fish including the brain, liver, and muscle to the same levels that occur in the environment (Brooks et al., 2005; Chu and Metcalf, 2007; Ramirez et al., 2007; Schultz et al., 2010).

Several authors have called for more studies on the importance of fluoxetine and SSRIs specifically on behavior because there is not enough information available regarding their impacts from chronic low-level exposures (Brooks and Brain, 2010; Brooks et al., 2003; Winder et al., 2009). With the exception of reduced locomotor activity in juvenile fish (Painter et al., 2009), most previous studies have found that at concentrations a minimum of ten times higher than what has been documented in the aquatic environment caused increased (McDonald et al., 2010) or decreased (Kohler et al., 2012; Barry, 2013) aggression, disruption in the endocrine axis (Lister et al., 2009; Fernandes et al., 2011; Menningen et al., 2010a,b), and declines in feeding behavior (Gaworecki and Klaine, 2008) and general movement (Beulig and Fowler, 2008), but no impact on reproductive output (Foran et al., 2004). In some cases lower exposure levels were not tested and in the cases where lower exposures were actually tested, these studies have not shown an impact of fluoxetine. This may be due to a lack of true impact at lower concentrations but may also be due to the nature of the behavior and species tested, the length of exposure, or differences in enantiomers of fluoxetine used (Brooks et al., 2003; Stanley et al., 2007).

In this study we examined the effects of chronic sub-lethal and realistic environmental exposures of fluoxetine on specific behaviors associated with reproduction, feeding and predator avoidance in the fathead minnow. Fathead minnows were chosen for this study as they are a native species to North America, a toxicological model for the U.S. Environmental Protection Agency and most importantly, exhibit a complicated series of mating behaviors that can be a sensitive indicator of problems with reproduction. Behavior is a sensitive endpoint that provides an early indication of the impact of a toxin (Jones et al., 1991; Lovern et al., 2007) and in the case of a chemical that specifically impacts the nervous system, behavior is an important endpoint to monitor (Brooks et al., 2003). Exposure concentrations used in this experiment were based on the range of concentrations documented in freshwater systems and included some higher concentrations used in other published experiments as a comparative reference. As it has been shown that response to fluoxetine is time dependent (Perez et al., 2001), experiments were carried out over a four-week exposure. Hormone levels were also measured as changes in the endocrine system have been indicated as a potential mechanism for reproductive effects seen at higher exposure concentrations (Lister et al., 2009).

2. Materials and methods

Adult fathead minnows (Pimephales promelas), approximately eight months post-hatch, were selected from a stock culture in the Klaper Lab at the School of Freshwater Sciences (Milwaukee, WI). The original fish culture was obtained from the Environmental Protection Agency (Duluth, MN). Fish were fed Tetramin Tropical Flakes twice a day. Morning feedings occurred after filming of reproductive behavior. A 16:8 h light:dark cycle was used to simulate the photoperiod present during mating season for the fish and tanks were kept at 23 °C.

2.1. Exposures

Static exposures were conducted in standard 37.8 L (25.5 cm x 25.5 cm x 30.5 cm) glass tanks, which were individually aerated. Twenty-four liters of dechlorinated water was then added to the tanks either with or without a chemical exposure. Each tank was dosed to concentration using a stock solution of 300 mg/L fluoxetine in ultrapure water. Doses were chosen to mimic environmental concentrations and higher doses that mimicked those of other published studies and included 0 µg/L (control), 0.1 µg/L, 1 µg/L, 10 µg/L, and 100 µg/L. Fluoxetine HCl was purchased from Sigma–Aldrich (St. Louis, MO). Exposures were static-renewal with 100% water replacement every three days. Fish were exposed to a treatment for a total of 4 weeks. Fluoxetine concentrations were quantified immediately after tanks were dosed and at two days by passing 0.5 L of tank water through an SPE column and extracting and analyzing for fluoxetine per EPA Method 1694. Concentrations were found to be an average (±SE) 0.02 ± 0.007 µg/L for the 0 µg/L treatment, 0.087 ± 0.017 µg/L for the 0.1 µg/L treatment, 1.62 ± 0.42 µg/L for the 1.0 µg/L treatment, 10.45 ± 2.83 µg/L for the 10 µg/L treatment, and 94.85 ± 16.25 µg/L for the 100 µg/L treatment.

2.2. Mating behavior measures

Specific mating behaviors were monitored starting two weeks after first exposure to provide time for acclimation to the treatment. The mating procedure for fathead minnows is a complex series of events. The male first creates a suitable nesting place, generally on the underside of a rock or log. He scrubs the nest using his fat pad on his head and picks off debris with his mouth to prepare the nest for eggs, and then attracts the female into the nest through a series of chasing movements. The male then proceeds through a series of movements to turn the female on her side and pushes her to lay eggs on the underside of the top of the nest. The male protects the nest until the eggs are hatched and regularly cleans the eggs using his fat pad and mouth. We provided a nesting tile created from a 15 cm solid plastic core PVC pipe that was cut in half lengthwise. This tile was then placed at the bottom of the tank so that the tile formed a nesting site that the fish could swim in and out of from both ends of the tile. One male and one female were placed with each mating tile. There were three pairs in each tank as these fish are group spawners. The fish could smell each other but did not interact across sections of the tank due to the dividers. Various mating behaviors quantified are described in Table 1.

Egg production was monitored from the beginning of the experiment. Egg production and mating behaviors were monitored 1–3 h after lights were activated in the room. If fish laid eggs on the tile, the tile was removed from the section, eggs were counted, and a new tile was placed in the section. If eggs were laid on the bottom of the tank, the eggs were counted and then removed. Fish behavior
was recorded for 10-min intervals every other day during natural spawning times (1 h after lights turned on). Mating behavior was filmed using a Panasonic SDR-H200 digital video recorder.

2.3. Hormone analysis

Previous studies have suggested neuroendocrine control may be responsible for reproductive changes in fish associated with SSRI exposures, so we measured hormone concentrations in males and females at the end of the exposure period. Plasma hormones, testosterone and 17\(\beta\)-estradiol were measured using competitive enzyme immunoassay (EIA) kits specific to each hormone per manufacturers instructions (Cayman Chemical, Ann Arbor, MI). Briefly, hormones were extracted using diethyl ether and then the ether was allowed to evaporate under nitrogen in a 30°C water bath. The remaining extract was dissolved in 0.5 mL of assay buffer solution provided with EIA kit and placed into ELISA wells. Testosterone and 17\(\beta\)-estradiol calibration standards were prepared according to the kit. Absorbance readings were performed on the Wallac 1420 Explorer (Perkin Elmer, Irvine, CA) at 405 nm. Concentrations were calculated using comparison to known standards.

2.4. Feeding and predator avoidance behaviors

2.4.1. Feeding behavior

The impact of a chronic low-dose exposure on feeding behavior was assessed at the end of the exposure trials for reproduction (after 4 weeks). Individual female and male fathead minnows were placed in a 2 L beaker with 1 L of untreated dechlorinated water and the amount of time it took each fish to eat 10 Daphnia magna was measured. Daphnia were selected randomly from a stock culture that originated from Aquatic Biosystems (Fort Collins, CO). Fish were allowed to acclimate in the beaker for 5 min and then 10 daphnids were placed in the beaker. If fish took longer than 45 min to eat all 10 daphnia, time was recorded as 2700 s. Fish were not fed 14 h prior to the start of the feeding experiment. Fish were also placed back into untreated dechlorinated water for two weeks after the initial experiment to determine recovery time after month-long exposures. Fish were measured again for feeding behavior one week and two weeks after the experiment (at weeks 5 and 6 of the experiment where no exposures occurred).

2.4.2. Predator evasion

Individual fathead minnows were placed in a 37.8 L tank with 10 L of dechlorinated water. This limited fish movement to the horizontal plane of the tank. One fish was placed in the tank and let to acclimate to the water for at least 5 min so that routine swimming behavior could be observed before introduction to mock predator. A black circle (4.5 cm in diameter) on a white background (21 cm x 14 cm) was used to mimic a predator. The mock predator was projected toward the side of the tank to startle the fish and the total distance the fish swam in a line from the start of the circle movement until it began meandering again was recorded. If the fish ignored the stimulus and continued to swim normally this was recorded as a null value. A total of five replications were conducted for each fish, where the circle was removed immediately after each approach and the subsequent test was done after the fish resumed routine swimming behavior before another introduction to the mock predator was conducted. Each trial was video recorded using a Panasonic SDR-H200 digital video recorder. Video was viewed by individual frames to determine the fish's startle distance using MPplayer GUI for Windows.

2.5. Data analysis

A one-way ANOVA was conducted to determine differences among behaviors and hormones in each treatment using SPSS 13.0 for Windows. Data was transformed where necessary to create a normal distribution. Tukey’s HSD was used to identify differences among specific treatments for each behavior and hormone analysis.

3. Results

3.1. Changes in mating behavior

Fluoxetine exposure as low as 1 \(\mu\)g/L significantly impacted mating behaviors of fathead minnows and specifically those associated with male nest preparation, maintenance, and defense. Males spent more time cleaning their mating tile or nest with the increase in fluoxetine concentration \((F = 5.762, df = 28, p < 0.05)\) (Fig. 1). The males in the 1.0 \(\mu\)g/L and 100 \(\mu\)g/L treatments spent significantly more time cleaning the nest than control and 0.1 \(\mu\)g/L (Tukey HSD \(p < 0.05\)). The males in the 100 \(\mu\)g/L exposures were also observed to have a different cleaning behavior than the other exposed males. The males in the 100 \(\mu\)g/L treatment would aggressively use their fat pad to scrub the underside of the tile and did this repetitively while the males in other treatments would graze by the tile and lightly scrub the tile with their fat pad. In the 1.0 \(\mu\)g/L and 100 \(\mu\)g/L exposures, males would clean also their mating tile for extended periods of time. As a contrast, the males in the 100 \(\mu\)g/L exposure spent 75.8% of their time observed under the tile cleaning it, whereas males in the control exposures spent 22.6% of the time filmed cleaning the nest. The males treated with 1.0 \(\mu\)g/L fluoxetine had light scrubbing cleaning behavior, however they would visit the tile more often and therefore their total amount of time spent on cleaning increased. In the control and 0.1 \(\mu\)g/L exposures, the male would swim through one opening in the tile and out the other side without stopping to clean the tile.
Fig. 1. Average amount of time male spent cleaning mating tile. The average amount of time males spent cleaning mating tile in 0, 0.1, 1, 10, or 100 μg/L fluoxetine exposures. Time spent cleaning was significantly different between control, 0.1 μg/L fluoxetine doses and 1 or 100 μg/L fluoxetine doses (Tukey HSD p < 0.05).

Fig. 2. Average amount of time males spent under mating tile for each visit. The average amount of time males spent under mating tile for each visit during the observation period of fathead minnows while exposed to 0, 0.1, 1, 10, or 100 μg/L of fluoxetine are shown. The amount of time males spent under the tile for each visit was significantly different between 100 μg/L fluoxetine and other doses (Tukey HSD p < 0.05).

The differences in cleaning behavior, and specifically the difference in the types of cleaning being done by the males exposed to the highest concentrations, led to an increase in amount of time the male spent per visit under the tile (F = 6.098, df = 25, p < 0.05) (Fig. 2). Males exposed to 100 μg/L fluoxetine spent more time for each visit under the tile compared to the control, 0.10 μg/L, and 1 μg/L males (Tukey HSD p < 0.05). There was also a decrease in the number of total visits to the tile by the male with increasing fluoxetine concentration (F = 4.364, df = 28, p < 0.05) (Fig. 3). The 100 μg/L exposed males visited the tile significantly less than both control and 1 μg/L males (Tukey HSD p < 0.05) but for each visit spent significantly more time under the tile when compared to all other groups.

There was also a decline in mating behavior by males as the concentration of fluoxetine increased. Males in the 100 μg/L exposure did not spend time chasing females to get them under the tile and instead attacked the females in this treatment, which was seen as chasing and biting the female (F = 4.606, df = 29, p < 0.05) (Tukey HSD p < 0.05). Although not measured this was particularly evident in the first two weeks of exposure where this led to some mortality in the females. More aggressive mating behaviors (nips or attacks) also led to mortality in females. The males in the 100 μg/L treatment often killed females and did so more than any of the other exposures (and therefore twice as many exposures were conducted for this treatment to have enough pairs to examine behavioral changes). Male survivorship in this treatment was only 33% compared to the other exposures that had a survivorship of 77–87.5% (Table 2). The females that died had visible bruising and tissue damage. Fluoxetine did not affect frequency of males chasing the females around the tank but changed the level of aggression once a female approached the nest for mating.

None of the female behaviors measured varied significantly among treatments. Neither the amount of time females spent under the mating tile nor the amount of time spent engaged in mating behavior were found to vary significantly between concentrations (p > 0.05).

3.2. Changes in reproduction

There was a significant difference in the amount of eggs laid among control and fluoxetine exposures (F = 8.700, df = 40, p < 0.05) (Fig. 4). This level of significance was due to differences between the 100 μg/L exposures and all other treatments. In this highest exposure, fish had a significant decline in total egg production compared to all other concentrations (Tukey HSD p < 0.05) due to the
aggression in the male fish mentioned above leading to unsuccessful mating and in some cases death of the females.

3.3. Changes in testosterone and estrogen

Testosterone was measured in all males from this experiment after the 4-week exposure period and estrogen concentrations were measured in females. There were no significant differences found in hormone concentrations across any of the treatments indicating no association with hormones and the behaviors at these exposure concentrations of fluoxetine.

3.4. Changes in feeding behavior

Fluoxetine exposure increased the time it took the fathead minnows to eat 10 daphnids. The 10 and 100 µg/L fluoxetine exposed groups took longer to find and eat all of the daphnids in their feeding arena compared to that of the control, 0.10 µg/L and 1.0 µg/L exposures (Fig. 5) \(F = 7.033, \text{df} = 60, p < 0.05\); Tukey HSD \(p < 0.05\). Several of the fish in the 10 µg/L fluoxetine exposure and 100 µg/L fluoxetine exposures did not eat any of the daphnids during the allotted time of 2700 s.

Fish were removed from fluoxetine exposure and placed in a control environment to see if the removal of fluoxetine would return fish to a control like state. Experiments were repeated one week and two weeks after being placed in control settings. Fish that were initially exposed to 10 µg/L fluoxetine and had a significant increase in the time to find prey after a 4-week exposure were not statistically different than the controls after 2 weeks \(p > 0.05\). However fish in the 100 µg/L did not recover after the 2-week recovery period.

3.5. Changes in predator avoidance

Fluoxetine significantly decreased the startle reaction after the introduction of a mock predator in exposures as low as 1 µg/L fluoxetine \(F = 6.462, \text{df} = 75, p < 0.05\), decreasing the distance in half compared to that of the control (Fig. 6). In the most extreme cases individual fish in both the 10 and 100 µg/L exposures did not respond to the mock predator.

4. Discussion

Our results show that fluoxetine at concentrations in the range of what has been documented in freshwater systems and wastewater effluent, pose a threat to the normal mating and predator avoidance behavior of the fathead minnow. Behaviors specifically related to nest building and care were affected by concentrations of fluoxetine as low as 1 µg/L. Males in this species are responsible for providing a safe nesting site, cleaning the nest, and then cleaning and protecting the eggs laid until they hatch. Any disturbance to these tasks could alter offspring success and have population consequences. We saw an increase in time the male spent under the tile in the nest, for each visit, a decrease in frequency male visited the nest, an increase in time male spent cleaning the tile to provide a suitable nesting site, and a decrease in the frequency of the male attempting to mate with the female with various concentrations of fluoxetine.
We also found an influence of fluoxetine exposure on aggressive behavior in that males were more aggressive in the 100 μg/L treatment that 67% of the females were killed (Table 2). This aggressive reaction to females rather than males is unusual but similar to other studies that find aggression toward other males increases with serotonin level changes as well as fluoxetine exposures (Barry, 2013; Dzwieczynski and Herbert, 2012; Lynn et al., 2007). This aggression toward the females and an increase in male isolation time under the tile with the increase in fluoxetine concentration could be a model for other behavioral problems. The complex suicidal traits of humans (aggression, impulsivity, irritability and hopelessness) are currently being modeled in rodents to better understand if SSRIs might be linked to suicidal thoughts and behaviors (Malkesman et al., 2009). Male fish exposed to the concentrations of fluoxetine of 10 and 100 μg/L displayed some of these traits that are modeled in rats (isolation and aggression) and could make fathead minnows a model organism for understanding the behavior effects of exposure dose, length of exposure to SSRIs and aggression and suicide and the potential side effects of these medications in humans.

Changes related to a given exposure concentration were not uniform across all behaviors and we also saw a difference from the initial week of exposure to the time period where filming began, indicating a particular dose or differential brain responses at different exposure concentrations. Studies in mammals point toward differential impacts of SSRIs in different brain regions, which could cause a differential response for each behavior (Griffin and Mellon, 1999; Muneoka et al., 2009). In addition, research in fish has demonstrated that behaviors such as aggression and feeding are decoupled and associated with serotonin mechanisms in different regions of the brain (Elipot et al., 2013) and exposures to fluoxetine impact the brain stem over other brain regions (Airhart et al., 2007) supporting the idea that behavioral responses to fluoxetine may differ within the same exposure.

Other studies have suggested that SSRIs may have a significant neuroendocrine effect, which would have an impact on behavior, hormones and potential the number of eggs laid (Lister et al., 2009; Fernandes et al., 2011; Mennigen et al., 2011). This may be true at higher exposure concentrations, however in this study behavioral changes were not related to hormonal changes in either male or female fish. In addition, testosterone (males) and estrogen (females) concentrations did not differ with treatment.

Although we did not find a difference overall in the number of eggs laid among treatments, male nest behavior can have a significant impact on fry surviving to adulthood as they are responsible for cleaning and taking care of the eggs. This study did not measure changes in the survival of offspring but this type of measure would be of value to determine if changes in male behavior had a larger consequence. In addition, other studies have found that fluoxetine exposed fish may have a greater frequency in developmental abnormalities and behavioral issues (Foran et al., 2004). These abnormalities were not measured or readily apparent in this study but if present could also mean a less viable egg population despite the similar numbers of eggs laid.

Adult fathead minnow behaviors associated with predator evasion were also impacted by the exposure of environmentally relevant concentrations of fluoxetine. Their swim distance, which is important in normal predator avoidance behavior across fish species (Eaton et al., 2001; Eaton and Hackett, 1984; Domenici and Blake, 1997), was significant shorter than the control fish. Fish exposed to the highest concentration of fluoxetine that we tested (100 μg/L) often did not react to the mock predator being introduced to them. This negative effect on predator evasion indicates a slower response or a reduced mobility in these fish and can lead to increased predation. This is supported by other research indicating fluoxetine can have an impact on fish movement (Maximino et al., 2011) and reaction to predation cues (Barbosa Júnior et al., 2012; Gaworecki and Klaine, 2008).

Fluoxetine at concentrations 10 times what has been documented in the environment (10 μg/L) also caused a change in feeding behavior as minnows were slower at capturing their prey. The results in this study were similar to what has been found with other fish species at similar or higher concentrations (Gaworecki and Klaine, 2008; Mennigen et al., 2010b; Thomas et al., 2011). As there are many serotonin receptors in the gut as well as central nervous system it may also act as an appetite suppressor rather than directly affecting behavior through brain function (De Pedro et al., 1998). Fluoxetine has also been shown reduce food intake and body weight in mammals (Uphouse et al., 2006). These impacts were seen at concentrations higher than what might be expected in the environment.

One of the promising findings of this research was the return to control like feeding and predator avoidance behavior with removal of even the greatest exposures. This recovery time was only two weeks for all but the highest exposure conditions, indicating that although the presence of SSRIs in aquatic systems may be pervasive, the impacts on behavior seen in this study may be reversible with improved removal from the waste stream.

5. Conclusion

The research presented here demonstrates that environmentally relevant concentrations of fluoxetine, an SSRI antidepressant, have an impact on specific behaviors important to reproduction and predator avoidance. This research also indicates that the impacts of fluoxetine are dose dependent and are more pronounced in males. They may also be brain region specific as not all behaviors are impacted at the same exposure dose and males and females do not demonstrate the same effects. Removal of fluoxetine exposure largely results in a return to normal behavior indicating environmental remediation of any damaging effects to populations is possible.

Conflict of interest

The authors have nothing to disclose.

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